

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Stephen W. METZ et al.

Serial No.:

10/730,788

Title:

PATIENT-CENTRIC DATA ACQUISITION

PROTOCOL SELECTION AND

IDENTIFICATION TAGS THEREFOR

Filing Date:

December 9, 2003

Examiner:

Not Assigned Yet

Art Unit:

Not Assigned Yet

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to Applicant's duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the documents listed on the attached Form PTO/SB/08A are being brought to the attention of the Examiner in charge of the above-referenced Application.

The Examiner is respectfully requested to initial the space adjacent to the listing of each document on Form PTO/SB/08A, and return a copy of the initialed Form PTO/SB/08A with the next communication to Applicant, to confirm that these documents have been considered by the Examiner and made of record in this Application.

The below-referenced information is presented so that the Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. See 37 C.F.R. §§1.104(a) and 1.104(b) concerning the PTO duty to consider and use any such information. It is respectfully requested that the information be expressly considered during the prosecution of this Application, and that the references be made of record therein and appear among the "References Cited" on any patent that issues therefrom.

GEMS 142193XZ (3880)

This paper is being submitted in accordance with:

$ \sqrt{} $	37 C.	37 C.F.R. § 1.97(b):				
		With the application;				
		Within 3 months of the filing date of a national application other				
		than a continued prosecution application under 37 C.F.R. §				
		1.53(d);				
		Within 3 months of the date of entry of the national stage as set				
		forth in 37 C.F.R. § 1.491 in an international application;				
	\square	Before the mailing of a First Office Action on the merits; or				
		Before the mailing of a First Office Action after the filing of a				
		request for continued examination under 37 C.F.R. § 1.114.				
	37 C.	37 C.F.R. § 1.97(c) – after the period specified in 37 C.F.R. § 1.97(b) but				
	befor	before the mailing date of any of a Final Office Action under 37 C.F.R. §				
	1.113, a Notice of Allowance under 37 C.F.R. § 1.311, or an action that					
	other	wise closes prosecution in this application, whichever is earlier; and				
	inclu	des:				
		The required statement made below; or				
		The \$180 fee specified in 37 C.F.R. § 1.17(p) for submission of				
		this IDS;				
	37 C.F.R. § 1.97(d) – after the period specified in 37 C.F.R. § 1.97(c) but					
	on or before payment of the Issue Fee; and includes:					
		The required statement made below; and				
		The \$180 fee specified in 37 C.F.R. § 1.17(p) for submission of				
		this IDS;				
	37 C.	F.R. § 1.97(e) – required statement:				
		Each item of information contained in this IDS was first cited in a				
	•	communication from a foreign patent office in a counterpart				

GEMS 142193XZ (3880)

foreign application not more than three (3) months prior to the filing of this IDS; or

No item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three (3) months prior to the filing of this IDS.

If the fee of \$0 is incorrect, please charge any additional fees due, or credit any overpayment, to **Deposit Account No. 07-0845**.

If Examiner has any questions or wishes to discuss this Application, Examiner is kindly encouraged to telephone undersigned.

Respectfully submitted,

Tracey R. Loughlin (USPTO Reg. # 51,969)

Attorney for Applicants

DOUGHERTY, CLEMENTS & HOFER

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Charlotte, NC 28211

Telephone: 704-366-6642 Facsimile: 704-366-9744

PTO/SB/08A (10-01)
Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO

1

Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of

Applicati n Number 10/730,788

Filing Date December 9, 2003

First Named Inventor METZ et al.

Group Art Unit Not Assigned Yet

Examiner Name Not Assigned Yet

Attorney Docket Number GEMS 142193XZ (3880)

Complete if Known

	U.S. PATENT DOCUMENTS				
Examiner	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
Initials*		Number- Kind Code 2 (if known)			
	1	US-5,781,442	07/14/1998	Engleson et al.	
		US-			
	<u> </u>	US-			
		US-			

	FOREIGN PATENT DOCUMENTS						
Examiner Initials•	Cite	Foreign Patent Document	Publication Date MM-DD-YYYY		Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages	Т6
	No.1	Country Code3 -Number4 - Kind Code s (if known)		Applicant of Cited Document	or Relevant Figures Appear		
		**					

Examiner	Date	
Signature	Considered	

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments

PTO/SB/08A (10-01)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO

Sheet

Signature

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

2

of

Complete if Known		
Application Numb r	10/730,788	
Filing Dat	December 9, 2003	
First Named Inventor	METZ et al.	
Group Art Unit	Not Assigned Yet	
Examiner Name	Not Assigned Yet	
Attorney Docket Number	GEMS 142193XZ (3880)	

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	
Examiner Initials•	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Т
Examiner		Date	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Considered

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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FIRST CLASS MAILING CERTIFICATE

Date of Deposit:

December 22, 2003

Type of Document(s):

- Fee Transmittal Sheet (PTO/SB/17) (1 page)

- Information Disclosure Statement (3 pages)

- Information Disclosure Statement Form (PTO/SB/08A) (2 pages)

- Post Card Receipt

Serial No.:

10/730,788

Filing Date:

December 9, 2003

File Reference:

GEMS 142193XZ (3880)

Title:

PATIENT-CENTRIC DATA ACQUISITION PROTOCOL SELECTION AND IDENTIFICATION TAGS THEREFOR

I hereby certify that the documents identified above are being deposited with the United States Postal Service on the date noted above with sufficient postage as first class mail in an envelope addressed to: Mail Stop Non-Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Kelly Thompson

PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE he Paperwork Reduction Act of 1995, no persons are required to re

FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

✓ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

Name (Print/Type)

Signature

Tracey R/Loughlin

(\$) \$0.00

Complete if Known			
Application Number	10/730,788		
Filing Date	December 9, 2003		
First Named Inventor	Stephen W. METZ et al.		
Examiner Name	Not Assigned Yet		
Art Unit	Not Assigned Yet		
Attorney Docket No.	GEMS 142193XZ (3880)		

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)			
Check Credit card Money Other None	3. ADDITIONAL FEES			
✓ Deposit Account:	Large Entity Small Entity			
Denosit	Fee Fee Fee Fee Description Code (\$) Code (\$)	e Paid		
Account Number	1051 130 2051 65 Surcharge - late filing fee or oath	e Faig		
Deposit CE Modical Systems	1052 50 2052 25 Surcharge - late provisional filing fee or			
Name GE Medical Systems	cover sheet			
The Director is authorized to: (check all that apply)	1053 130 1053 130 Non-English specification 1812 2,520 1812 2,520 For filing a request for <i>ex parte</i> reexamination			
Charge fee(s) indicated below Credit any overpayments	1804 920* 1804 920* Requesting publication of SIR prior to			
Charge any additional fee(s) or any underpayment of fee(s)	Examiner action			
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	1805 1,840* 1805 1,840* Requesting publication of SIR after Examiner action			
FEE CALCULATION	1251 110 2251 55 Extension for reply within first month			
1. BASIC FILING FEE	1252 420 2252 210 Extension for reply within second month			
Large Entity Small Entity	1253 950 2253 475 Extension for reply within third month			
Fee Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$)	1254 1,480 2254 740 Extension for reply within fourth month			
1001 770 2001 385 Utility filing fee	1 1255 2,010 2255 1,005 Extension for reply within fifth month			
1002 340 2002 170 Design filing fee	1401 330 2401 165 Notice of Appeal			
1003 530 2003 265 Plant filing fee	1402 330 2402 165 Filing a brief in support of an appeal			
1004 770 2004 385 Reissue filing fee	1403 290 2403 145 Request for oral hearing			
1005 160 2005 80 Provisional filing fee	1451 1,510 1451 1,510 Petition to institute a public use proceeding			
SUBTOTAL (1) (\$) 0.00	1452 110 2452 55 Petition to revive - unavoidable			
	1453 1,330 2453 665 Petition to revive - unintentional			
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE Fee from	1501 1,330 2501 665 Othity issue fee (of reissue)			
Extra Claims below Fee Paid Total Claims 20** = X	71 · · · · · · · · · · · · · · · · · · ·			
Independent	1503 640 2503 320 Plant issue fee			
Claims - 3** = X - 3** = X Multiple Dependent	1460 130 1460 130 Petitions to the Commissioner			
· · ·	1807 50 1807 50 Processing fee under 37 CFR 1.17(q)			
Large Entity Small Entity Fee Fee Fee Fee Pescription	1600 160 1600 160 Caphilission of information Disclosure Curit	0.00		
Code (\$) Code (\$)	8021 40 8021 40 Recording each patent assignment per property (times number of properties)			
1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3	1809 770 2809 385 Filing a submission after final rejection (37 CFR 1.129(a))			
1203 290 2203 145 Multiple dependent claim, if not paid	1 · · · · · · · · · · · · · · · · · · ·			
1204 86 2204 43 ** Reissue independent claims	examined (37 CFR 1.129(b))			
over original patent	1801 770 2801 385 Request for Continued Examination (RCE)			
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802 900 1802 900 Request for expedited examination of a design application			
SUBTOTAL (2) (\$) 0.00	Other fee (specify)			
**or number previously paid, if greater; For Reissues, see above	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0.00			
SUBMITTED BY (Complete (if applicable))				

WARNING: Information in this form may become public. Credit card information should not be included on this form. Provide credit card information and auth rization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Registration No.

51,969

Telephone 704-366-6642